



3629

PTO/SB/21 (08-03)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/964,133
		Filing Date	September 25, 2001
		First Named Inventor	William Stevens Taber, Jr.
		Art Unit	3629
		Examiner Name	Borissov, Igor N.
Total Number of Pages in This Submission		Attorney Docket Number	062787-5001US (020162-000310US)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none">• Return postcard• 3.73(b) Statement and copy of Assignment• Supplemental ADS
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.
Copies of the documents being submitted were initially submitted on Oct. 20, 2004 and received by the USPTO on October 25, 2004. Please update the correspondence address.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Morgan, Lewis & Bockius LLP Jeffry S. Mann Reg. No. 42,837
Signature	
Date	March 22, 2005

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Kathryn A. Degliantoni		
Signature		Date	March 22, 2005



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PTO/SB/81 (02-01)
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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/964,133
	Filing Date	September 25, 2001
	First Named Inventor	William Stevens Taber Jr.
	Title	Large Scale Procurement of Energy Efficiency Resources
	Group Art Unit	3629
	Examiner Name	Borissov, Igor, N.
	Attorney Docket Number	062787-5001US (020162-000310US)

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Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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OR

☐ Firm or
Individual Name

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name William Stevens Taber, Jr., Chairman of the Board

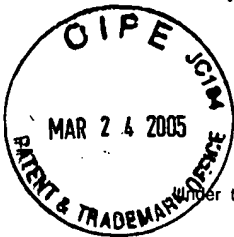
Signature

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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: William Stevens Taber, Jr.

Application No./Patent No.: 09/964,133

Filed/Issue Date: September 25, 2001

Entitled: LARGE SCALE PROCUREMENT OF ENERGY EFFICIENCY RESOURCES

Princeton Development Corporation

a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6 June 2002
Date

William Stevens Taber, Jr.

Typed or printed name

Signature

Chairman of the Board

Title

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PATENT AND
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020162-000 31005 JEM

DECEMBER 13, 2002

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP
JEFFRY S. MANN
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834

Under Secretary of Commerce For Intellectual Property and
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RECORDATION DATE: 09/16/2002

REEL/FRAME: 013293/0639
NUMBER OF PAGES: 4

BRIEF: CORRECTED RECORDATION FORM COVER SHEET TO CORRECT ASSIGNEE'S NAME, PREVIOUSLY RECORDED AT REEL/FRAME 013033/0796 (ASSIGNMENT OF ASSIGNOR'S INTEREST)

ASSIGNOR:

TABER, JR, WILLIAM STEVENS

DOC DATE: 06/06/2002

ASSIGNEE:

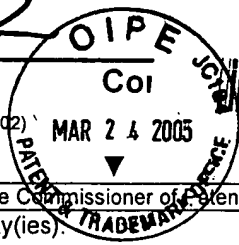
PRINCETON DEVELOPMENT CORPORATION
860 BUTTERFIELD LANE
SAN ANSELMO, CALIFORNIA 94960

SERIAL NUMBER: 09964133
PATENT NUMBER:

FILING DATE: 09/25/2001
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
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9/16/02



09-19-2002

Attorney Docket No. 20162-000310US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

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Sheet

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U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please return attached original documents or copy thereof

1. Name of conveying party(ies):

William Stevens Taber Jr.

Additional name(s) of conveying parties attached? ☐ Yes ☒ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: June 6, 2002

2. Name and address of receiving party(ies)

Name: Princeton Development Corporation

Street Address: 860 Butterfield Lane

City: San Anselmo State: CA ZIP: 94960

Additional names and addresses attached? ☐ Yes ☒ No

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2002 SEP 16 AM 10:51
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4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/964,133

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Jeffry S. Mann

Name of Person Signing
Atty. Reg. No. 42,837

Signature

9/10/02

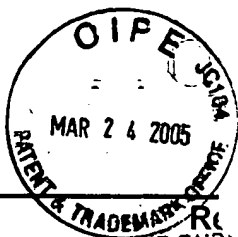
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Total number of pages including cover sheet, attachments and document 3

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SF 1384096 v1

09/18/2002 BTOW11 00000130 201430 09964133
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07-02-2002



orney Docket No. 20162-000310US

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

William Stevens Taber

FINANCE SECTION
6-24-02

2. Name and address of receiving party(ies)

Name: Princeton Development CorporationStreet Address: 860 Butterfield LaneCity: San Anselmo State: CA ZIP: 94960Additional name(s) of conveying parties attached? ☐ Yes ☒ No.

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____Additional names and addresses attached? ☐ Yes ☒ NoExecution Date: June 6, 2002

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/964,133

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-02006. Total number of applications and patents involved 17. Total fee (37 CFR 3.41): _____ \$40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Jeffry S. MannName of Person Signing
Atty. Reg. No. 42,837
Signature6/17/02
DateTotal number of pages including cover sheet, attachments and document 3Mail documents to be recorded with required cover to:
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Washington, D.C. 20231

07/01/2002 TDI A21 00000093 201430 09964133

01 FC:581

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ASSIGNMENT OF PATENT APPLICATION

SOLE

WHEREAS, William Stevens Taber, Jr. of 860 Butterfield, San Anselmo, CA 94960; hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **LARGE SCALE PROCUREMENT OF ENERGY
EFFICIENCY RESOURCES**

Date(s) of execution of Declaration: June 6, 2002

Filing Date: September 25, 2001

Application No.: 09/964,133; and

WHEREAS, Princeton Development Corporation, a corporation of California, located at 860 Butterfield Lane, , San Anselmo, CA, 94960, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: 6 June 2002


William Stevens Taber, Jr.

STATE OF CALIFORNIA)
)
COUNTY OF MARIN)

ss.

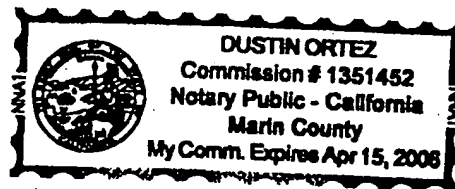
On June 6th 2002, before me, Dustin Ortiz,
personally appeared William Stevens Taber, Jr., ~~personally known to me~~ (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and
acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.


NOTARY PUBLIC

My Commission Expires: Apr 15, 2006

SF 1346952 v1





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020162-000310 03 JSM

AUGUST 29, 2002

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TOWNSEND AND TOWNSEND AND CREW LLP

JEFFRY S. MANN

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RECORDATION DATE: 06/24/2002

REEL/FRAME: 013033/0706
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TABER, WILLIAM STEVENS

DOC DATE: 06/06/2002

ASSIGNEE:

PRINCETON DEVELOPMENT CORPORATION

860 BUTTERFIELD LANE

SAN ANSELMO, CALIFORNIA 94960

SERIAL NUMBER: 09964133

FILING DATE: 09/25/2001

PATENT NUMBER:

ISSUE DATE:

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PATENT
Docket No.: 020162-000310US

On September 10, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Claudio Chen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William Stevens Taber, Jr.

Application No.: 09/964,133

Filed: September 25, 2001

For: LARGE SCALE PROCUREMENT
OF ENERGY EFFICIENCY
RESOURCES

Examiner:

Art Unit: 2161

REQUEST FOR CORRECTED NOTICE
OF RECORDATION OF ASSIGNMENT
DOCUMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are copies of a Notice of Recordation of Assignment dated August 29, 2002, a Recordation Cover Sheet and Assignment which the Applicant received in the above-identified patent application for which a corrected Notice of Recordation of Assignment is respectfully requested.

The Assignee's name should be corrected to read as follows:

William Stevens Taber, Jr.

A **Corrected Recordation Cover Sheet** is also submitted herewith.

William Stevens Taber, Jr.
Application No.: 09/964,133
Page 2

PATENT

Please charge any underpayments or credit any amounts to Deposit
Account #20-1430. This request is filed in triplicate.

Respectfully submitted,



Jeffrey S. Mann
Reg. No. 42,837

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
JSM:clc

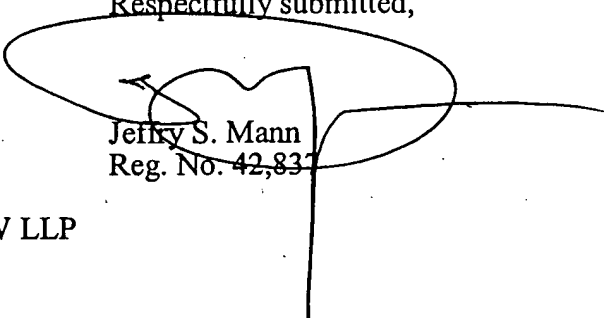
SF 1384099 v1

William Stevens Taber, Jr.
Application No.: 09/964,133
Page 2

PATENT

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Account #20-1430. This request is filed in triplicate.

Respectfully submitted,



Jeffrey S. Mann
Reg. No. 42,837

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
JSM:clc

SF 1384099 v1



Application Data Sheet

Application Information

Application number:: 09/964,133
Filing Date:: 09/25/01
Application Type:: Regular
Subject Matter:: Utility
Suggested classification::
Suggested Group Art Unit::
CD-ROM or CD-R??::
Number of CD disks::
Number of copies of CDs::
Sequence Submission::
Computer Readable Form (CRF)?::
Number of copies of CRF::
Title:: LARGE SCALE PROCUREMENT OF ENERGY
EFFICIENCY RESOURCES
Attorney Docket Number:: 20462-000310US 62787-5001US
Request for Early Publication:: No
Request for Non-Publication:: No
Suggested Drawing Figure::
Total Drawing Sheets:: 6
Small Entity?:: Yes
Latin name::
Variety denomination name::
Petition included?:: No
Petition Type::
Licensed US Govt. Agency::
Contract or Grant Numbers One::
Secrecy Order in Parent Appl.: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: William
Middle Name:: Stevens
Family Name:: Taber
Name Suffix:: Jr.
City of Residence:: San Anselmo
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 860 Butterfield
City of Mailing Address:: San Anselmo
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94960

Correspondence Information

Correspondence Customer Number:: 20350 043850

Representative Information

Representative Customer Number:: 20350 043850

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation in part of <u>Non-provisional of</u>	60/235,995	<u>9/27/2000</u>

Foreign Priority Information

Country::

Application number::

Filing Date::

Assignee Information

Assignee Name::

Princeton Development Corporation

Street of mailing address::

860 Butterfield Lane

City of mailing address::

San Anselmo

State or Province of mailing address::

CA

Country of mailing address::

US

Postal or Zip Code of mailing address::

94960



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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/964,133
	Filing Date	September 25, 2001
	First Named Inventor	William Stevens Taber Jr.
	Title	Large Scale Procurement of Energy Efficiency Resources
	Group Art Unit	3629
	Examiner Name	Borissov, Igor, N.
	Attorney Docket Number	062787-5001US (020162-000310US)

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☐ Practitioner(s) named below:

Name	Registration Number

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☐ The above-mentioned Customer Number.
OR

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Individual Name

Address

City

State

ZIP

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name William Stevens Taber, Jr., Chairman of the Board

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

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